Finite-Temperature Dynamics and Thermal Intraband Magnon Scattering in Haldane Spin-One Chains

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The antiferromagnetic spin-one chain is considerably one of the most fundamental quantum many-body systems, with symmetry protected topological order in the ground state. Here, we present results for its dynamical spin structure factor at finite temperatures, based on a combination of exact numerical diagonalization, matrix-product-state calculations and quantum Monte Carlo simulations. Open finite chains exhibit a sub-gap band in the thermal spectral functions, indicative of localized edge-states. Moreover, we observe the thermal activation of a distinct low-energy continuum contribution to the spin spectral function with an enhanced spectral weight at low momenta and its upper threshold. This emerging thermal spectral feature of the Haldane spin-one chain is shown to result from intra-band magnon scattering due to the thermal population of the single-magnon branch, which features a large bandwidth-to-gap ratio. These findings are discussed with respect to possible future studies on spin-one chain compounds based on inelastic neutron scattering.

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One-dimensional quantum spin models constitute basic condensed matter many-body systems that despite their simplicity exhibit a rich variety of emergent phenomena [1]. These include the formation of collective excitations and non-classical ground states with characteristic patterns in the quantum entanglement. From this perspective, Haldane’s conjecture [2–4] on a fundamental difference in the low-energy physics of integer-valued spin chains with respect to the spin-half Heisenberg chain has established the spin-one chain model as a fundamental spin system, which furthermore finds realizations in various, mainly Ni²⁺-based compounds [5–17]. Its properties have been intensively explored in both theoretical and numerical, as well as experimental studies in recent years, mainly with a focus toward the peculiar properties of the gapped ground state [18, 19], which is now understood as a most basic instance of symmetry protected topological (SPT) order [20, 21]. This leads, e.g., to the formation of a pair of entangled spin-half low-energy edge states for open finite chains [22].

Dynamical probes of quantum magnetism in spin-one chain compounds, performed using inelastic neutron scattering, have confirmed the gapped magnetic excitation spectrum [6, 15, 23–27]. At low temperatures, the corresponding dynamical spin structure factor is dominated by the gapped single-magnon branch, with additional contributions from multi-magnon continuum states, leading to the termination of the single-magnon branch due to decay and scattering with the two-magnon continuum states [28–46], cf. Fig. 1 for an illustration. The effects of thermal fluctuations on the dynamical spin structure factor at elevated temperatures [15, 47, 48] have been less intensively investigated theoretically, in particular in the region of intermediate energy scales, where theoretical approaches require one to account for both quantum and thermal fluctuations. Previous theoretical works mainly focused on the temperature-induced shift in the single-magnon dispersion as well as its thermal broadening in the low-temperature regime [49–54].

In this Rapid Communication, we discuss the emergence of a distinct, thermal contribution to the finite-temperature dynamical spin structure factor that we find to result from intra-band magnon scattering (IBMS), cf. Fig. 1. The IBMS continuum exhibits an enhanced spec-
tral weight near its upper edge, resulting from the van-Hove singularity in the density of states near the extrema of the single-magnon band. This enhanced spectral weight appears close to the single-magnon branch due to the large bandwidth of the latter. Our results furthermore indicate that this thermal IBMS may feasibly be detected upon performing neutron scattering experiments in a temperature regime of the order of the spin gap. In addition, we find a signature of an edge-state mode for open chains, which is visible over an extended temperature region.

Before presenting our results for the dynamical spin structure factor, we first introduce the model and the employed numerical methods. The Hamiltonian for the SU(2)-symmetric antiferromagnetic spin-one chain of length $L$ reads $H = J \sum_{\langle i,j \rangle} \mathbf{S}_i \cdot \mathbf{S}_j$, with $J > 0$, where in the following we employ both open chains (OBC) and closed chains with periodic boundary conditions (PBC). The dynamical spin structure factor is given in the Heisenberg picture as $\langle S(q, \omega) = \int dt e^{-i\omega t} \langle S_q(t) \cdot S_{-q}(0) \rangle$, where $S_q = \frac{1}{\sqrt{L}} \sum_j e^{iqj} S_j$, with $q = 2\pi \nu / L$, $\nu = 1, 2, ..., L$ for PBC. Using numerical exact diagonalization (ED), we were able to obtain numerically exact results for $S(q, \omega)$ on finite chains with PBC up to $L = 20$ [55–60]. In order to access larger system sizes, we used both density-matrix renormalization group (DMRG) [34, 35, 61] and quantum Monte Carlo (QMC) [62] approaches to calculate $S(q, \omega)$. For the DMRG-based analysis we used a recently developed finite-temperature approach [63], formulated within matrix product states (MPS) [64], which works directly in the frequency domain. As is the case for other finite-temperature time-dependent DMRG algorithms [65–67], this method is based on the purification of the thermal density operator obtained via imaginary time evolution. However, the underlying thermofield formalism [68] in combination with Liouville-space dynamics [69] allows us to naturally work in the frequency domain and thus apply a moment expansion in terms of Chebyshev polynomials to the spectral function itself [70–72]. Working with OBC in the DMRG calculations for efficiency reasons, the momentum-space spin-operators are related to those in real space via $S_q = \sqrt{\frac{2}{L-1}} \sum_{j=1}^L \sin (qj) S_j$, where $q = \pi \nu / (L + 1)$, $\nu = 1, 2, ..., L$ [73]. We typically consider a chain length of $L = 32$ and an MPS truncation at bond dimension $m = 250$ which yields compression errors $\mathcal{O}(10^{-2})$. The iterative Chebyshev expansion is truncated at order 2000, which results in an estimated broadening $\sigma_\omega$, weakly frequency dependent, of the order of 0.1$J$. For the QMC calculations we used the stochastic series expansion (SSE) algorithm with a generalized directed loop update [74, 75], and both OBC and PBC can be considered equally well. In order to access the spin dynamics, correlation functions in Matsubara frequency space, $C(q, i\omega_n) = \int_0^\beta d\tau e^{i\omega_n \tau} \langle S_q(\tau) \cdot S_{-q}(0) \rangle$, with $\omega_n = 2\pi n / \beta$, $n \in \mathbb{N}_0$ are measured, utilizing a mapping of the SSE configuration-space to continuous imaginary time [76, 77]. Here, $\beta = 1 / T$, and we typically require up to the 200 lowest Matsubara frequencies. Real-frequency spectra are then obtained by performing an analytic continuation to invert the relation $C(q, i\omega_n) = \int_0^\infty d\omega \frac{1}{\pi} \frac{e^{-\omega \beta}}{\omega_n^2 + \omega^2} S(q, \omega)$. To this end, we employ a stochastic analytic continuation algorithm [78] which yields Monte Carlo averages over ensembles of proposed spectral functions.

An overview of our main findings, the spectral function $S(q, \omega)$ of the spin-one chain at different temperatures, is provided in Fig. 2, where the left (right) column shows DMRG (QMC) results for a chain with OBC (PBC). A comparison of the DMRG spectral functions at a set of fixed momenta and for different temperatures is also available [56]. The data obtained by our finite-
temperature schemes at \( T/J = 1/24 \) (panels (a) and (b)) effectively represents ground state results. The most prominent contribution to \( S(q, \omega) \) is the single-magnon branch, with a lowest excitation gap of \( \Delta \approx 0.41J \) at the antiferromagnetic wave vector, \( q = \pi \) \cite{28, 36, 38}. Near \( q = \pi/4 \), the magnon branch merges into the two-magnon continuum, leading to the decay of elementary magnon excitations \cite{36, 44, 45}. Correspondingly, in the low-\( q \)-region, we observe a loss of spectral weight. For a finite system with OBC (cf. Fig. 2 (a)), a distinct additional contribution to the spin dynamics results from the low-energy edge states located at the two ends of an open spin-one chain \cite{22}. Due to the local character of the edge-state contribution, this low-energy spectral weight vanishes proportional to \( 1/L \) upon increasing the system size. This is confirmed by a finite-size analysis of the total spectral weight in the sub-gap region \cite{56}. In calculations with PBC, this sub-gap feature is absent (cf. Fig. 2 (b)), while for chains with OBC we also obtain it from QMC \cite{56}. The DMRG spectral function in Fig. 2 (a) shows a tiny fraction of the spectral weight which is spread both below and above the single-magnon branch. This results mainly from the truncation of the Chebyshev expansion and the comparatively small MPS bond dimension, and is not observed in the QMC simulations. The QMC spectrum in Fig. 2 (b) thus allows us to also resolve the well-separated three-magnon continuum near \( q = \pi \), where its intensity is sufficiently large \cite{56}

We next consider the thermal effects on the dynamical spin structure factor, cf. Fig. 2 (c)–(h), as well as Fig. 3. The thermal broadening of the single-magnon branch as well as the thermal band narrowing has been examined previously \cite{49, 50, 52}, cf. also Ref. \cite{56}. The OBC spectra furthermore show that the open finite-system’s edge-state contribution to the dynamical spin structure factor remains a distinct sub-gap feature also at finite temperatures, which thus provides a convenient fingerprint of the SPT nature of the ground state.

A qualitative change seen only in the finite-\( T \) spectral function is the emergence of additional spectral weight below the single-magnon branch for \( T \gtrsim \Delta/2 \approx 0.2J \), which is well separated from the single-magnon branch for \( q \lesssim \pi/2 \). At \( T = 0.4J \), cf. Fig. 2 (e), this temperature-induced spectral weight still appears to resemble a dispersing mode, softening at \( q = 0 \), where the spectral weight is further enhanced. While the DMRG approach allows us to distinguish this temperature-induced spectral weight from the single-magnon branch, the spectral function obtained from the analytically continued QMC data (cf. Fig. 2 (f)) is affected by a difficulty of the analytic continuation to separate such closely spaced spectral weight contributions at finite temperatures. The QMC data nevertheless exhibit the presence of the thermal spectral weight contribution at low energies, close to \( q = 0 \). Upon further increasing the temperature, a redistribution of the spectral weight can be seen in Fig. 2, and this eventually reveals the actual character of the temperature-induced spectral feature, which forms an extended continuum with an enhanced spectral weight at its upper threshold (cf. Fig. 2 (g) and (h)).

This thermal spectral weight results from IBMS processes that have been previously observed in dimerized spin-1/2 chains \cite{79–81}: the thermal population of the magnon mode, predominantly in the vicinity of \( q = \pi \), where the magnon dispersion has its lowest excitation gap, allows for scattering processes of a thermally excited magnon to another state on the single-magnon branch, cf. the illustration in Fig. 1. Such processes contribute to \( S(q, \omega) \) upon respecting the conservation of momentum and energy exchange with the scattering particle (such as, e.g., in neutron scattering). More quantitatively, this thermal IBMS contribution \( S_{IB}(q, \omega) \) to the dynamical spin structure factor can be approximately obtained using a magnon-state representation within a basic kinematic model. We denote by \( |k, \sigma \rangle \) a single-magnon (\( S_{tot} = 1 \)) excitation of momentum \( k \) and \( S_{tot} = \sigma \in \{0, \pm1\} \) atop the \( S_{tot} = 0 \) ground state \( |0 \rangle \), with an excitation energy \( \epsilon_k \) along the single-magnon branch. The multi-magnon states are subject to a hard-core constraint that can be treated in several approximate ways that all yield the same low-temperature asymptotics. We found it convenient to use a \( k \)-space-based hard-core boson approximation of the initial \( |i \rangle \) and final \( |f \rangle \) states in the Lehmann representation of

\[
S(q, \omega) = 3 \sum_{i,f} e^{-\beta E_i}|Z(JS^2_0)|^2 \delta(\omega - E_f + E_i),
\]

Here, the factor of three accounts for the SU(2) symmetry of the Hamiltonian \( H \). Neglecting further interaction effects, \( E_i (E_f) \) equals the sum of the occupied single-magnon state energies in the initial (final) state, and the partition function \( Z = \prod_{i,k,\sigma} (1 + e^{-\beta \epsilon_k}) \). The leading-order scattering processes, whereby a thermally excited magnon is scattered into another unoccupied single-
magnon state, then yield
\[
S^{IB}(q, \omega) = 3 \sum_{k, \sigma} |\langle k + q, \sigma | S^z_q | k, \sigma \rangle|^2 \left( \frac{1}{1 + e^{\epsilon_k/T}} \right) \left( \frac{1}{1 + e^{-\epsilon_k/T}} \right) \delta(\omega - \epsilon_{k+q} + \epsilon_k).
\]

Finally, we approximate the nonvanishing scattering matrix elements as \(|\langle k + q, \pm 1 | S^z_q | k, \pm 1 \rangle|^2 \approx 1/L\), which would hold exactly, if the single-magnon states were obtained as \(|k, \pm 1 = S^z_q | 0 \rangle\) and \(S_0^z | k, 0 \rangle = 0\), using that \(S_q^z, S_{\pm}^z = \pm S_0^z + q, \pm q\) with \(S_0^z = \frac{1}{2} \sum_j e^{-i q j} S_j^z\). The overall \(1/L\)-scaling of the matrix elements renders \(S^{IB}(q, \omega)\) convergent in the thermodynamic limit. In addition to the above explicit treatment of the longitudinal \((S_q^z)\) channel, one can also perform a similar calculation for the transverse sectors of \(S^{IB}(q, \omega)\), which then indeed exhibits its anticipated \(SU(2)\) symmetry.

We evaluated the IBMS contribution from this basic model, based on the single-magnon dispersion taken from Ref. [45]. The resulting IBMS spectral function at \(T/J = 0.3\) is shown in the right panel of Fig. 3, next to the corresponding DMRG result for \(S(q, \omega)\). Here, we convoluted the IBMS model spectral function with a Gaussian resolution of width \(\sigma_\omega = 0.1J\), i.e., the broadening in the DMRG spectral functions. We find that our rather simple model qualitatively captures the shape of the IBMS contribution, in particular its upper boundary. Near this threshold, as well as near \(q = 0\), the spectral weight is enhanced due to the van-Hove singularity in the magnon density of states near \(k = \pi/2\) and \(\pi\). The full extent of the IBMS continuum as obtained within the IBMS model is indicated in Fig. 1. Within the maximum energy regime \(\omega/J \approx 2\) of the IBMS signal near \(q = \pi/2\), where finite-size effects are expected to be weakest, we can use the \(L = 20\) ED data for a more detailed comparison, since in the ED approach, we can choose a smaller broadening \(\sigma_\omega = 0.05J\). A comparison of the ED spectral functions for \(q = \pi/2\) and \(q = 0.4\pi\) to the IBMS model is shown in Fig. 4 for \(T/J = 0.3\).

For \(q = \pi/2\), where we can directly compare ED data for \(L = 20\) and \(L = 16\) (since for both chain lengths, \(q = \pi/2\) is an available lattice momentum) we conclude that indeed the \(L = 20\) data in the relevant energy region exhibit only weak residual finite-sizes effects. By a direct comparison to the \(T = 0\) data, we identify the thermally induced spectral weight, with a peak at \(\omega/J \approx 2.3\), and clearly separated from the magnon peak at \(\omega/J \approx 2.7\). The position of the thermal peak is well reproduced by the IBMS model. To compare the corresponding spectral weight in the ED data to the IBMS model, one needs to account for the additional weight in the ED spectral function that is due to the broadened magnon peak; this elevates the IBMS signal in the ED data as compared to the background-free IBMS model. A similar comparison for \(q = 0.4\pi\), a momentum that is accessible on the \(L = 20\) chain, is shown in the inset of Fig. 4. Also here, we observe that the IBMS contribution to the ED spectral function is well reproduced by the IBMS model. While the above basic kinematic model already captures the overall properties of the IBMS contribution to \(S(q, \omega)\), it would nevertheless be interesting to account for direct magnon-magnon interactions. As mentioned above, these lead to band-narrowing and broadening of the single-magnon mode at finite temperatures and should be accounted for in a more thorough analytical description of the IBMS process. Furthermore, our approximate treatment of the scattering matrix elements renders the \(\omega\)-integrated IBMS spectral weight less \(q\)-dependent than observed in the numerical results, which show an overall increase in the IBMS signal for increasing finite values of \(q\) (cf. Figs. 2 and 3). Nevertheless, our basic model clearly demonstrates the mechanism behind the IBMS contribution to the dynamical spin structure factor at finite temperatures.

Thermally activated IBMS scattering is expected to be a general phenomenon in gapped quantum magnets, and indeed it is known from dimerized spin-1/2 chains [79–81]. The case of the Haldane spin-one chain that we have investigated in the present Rapid Communication is characterized by a large bandwidth as compared to the gap such that the maximum intensity of the IBMS continuum appears close to the single-magnon mode. In the present case, the IBMS thus provides an important contribution to the finite-temperature spin dynamics at low-to-intermediate scattering momenta. It would be interesting to identify the thermal IBMS signal from the scattering intensity in inelastic neutron scattering experiments on spin-one chain compounds. We anticipate the IBMS signal to be well accessible within a temperature regime set by the spin excitation gap. It may however be important to examine the influence of a single-ion anisotropy and inter-chain couplings on the IBMS sig-
nal. Furthermore, we expect the reduction of the spin gap by an applied magnetic field to enhance the IBMS signal toward lower temperatures, eventually making it relevant for the zero-temperature longitudinal response when the Haldane gap closes.

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Three-magnon contributions

S. Yamamoto and S. Miyashita, Low-lying excitations

S. V. Meshkov, Density-matrix algorithms for quantum

S. R. White, Density matrix formulation for quantum

O. Golinelli, Th. Jolicœur, and R. Lacaze, Numerical renormalization-


See the Supplemental Material for momentum cuts of the spectral functions obtained from DMRG for different temperatures, spectral functions obtained from ED, QMC results for open boundary conditions, the finite-size scaling of the edge-state contribution, the thermal shift of the magnon peak, and the QMC results for the three-magnon continuum.


SUPPLEMENTAL MATERIAL

Momentum cuts of the DMRG spectral functions

In order to compare more directly the evolution of the dynamical spin structure factor for a given fixed momentum, we show in Fig. S1 the spectral functions obtained from the DMRG calculations (i.e. the same data as shown in Figs. 2 and 3 of the main text) for different values of the momentum $q$ within the regime where we observe the intra-magnon scattering contribution.

![Graph showing spectral functions for different temperatures and momenta.]

FIG. S1. Dynamical spin structure factor $S(q, \omega)$ from DMRG calculations for a chain length of $L = 32$ with OBC for different values of $q$ and temperatures $T/J = 1/24 \approx 0.0417, \ldots, 1$. Due to the truncation of the iterative Chebyshev expansion at order 2000, the DMRG spectra have an estimated broadening $\sigma_\omega$ of the order of 0.1 $J$.

Spectral functions from ED

Exact diagonalization (ED) has to deal with a Hilbert space dimension that is exponentially large in the chain length $L$. A previous full diagonalization study [48] of the spin-one chain went up to $L = 8$. We have been able to perform full diagonalization up to $L = 12$. In order to compute spectral functions for system sizes up to $L = 20$ at finite but sufficiently low temperatures, we follow a similar strategy as in Ref. [55]. First, we compute a certain number of low-lying initial states $|i\rangle$ using the Lanczos procedure [57, 58]. The thermal occupation of each of these states is obtained with a Boltzmann weight for the corresponding energy $E_i$. Then we apply another Lanczos iteration to each start vector $S^L_{0i}|i\rangle$ and compute the position and weight of the individual poles from the eigenvalues and -vectors of the tri-diagonal matrix generated during this second Lanczos procedure [58]. The main difference to Ref. [55] is that here we do not employ a continued fraction expansion for the spectral function [58–60], but rather subject each pole to Gaussian broadening.

In Fig. S2, we show the ED data for the dynamical spin structure factor of the spin-one chain at different temperatures and chain lengths with PBC. Similarly to the DMRG results shown in the main text, we can identify the IBMS contribution at finite temperatures.

![Graph showing spectral functions for different temperatures and momenta.]

FIG. S2. Dynamical spin structure factor $S(q, \omega)$ from ED calculations for chain lengths of $L = 16$ (left) and $L = 20$ (right) with PBC at various temperatures $T$. A Gaussian broadening of $\sigma_\omega = 0.05 J$ was applied to the raw ED data.
QMC for OBC and Edge-State Contribution

In Fig. S3, we compare the QMC results for the dynamical spin structure factor of the spin-one chain with $L = 32$ at different temperatures for PBC and OBC. Like DMRG (see Fig. 2 of the main text), the QMC dynamical spin structure factor for OBC also exhibits the sub-gap mode emerging from the edge-state contribution. To quantify the finite-size scaling of this edge-state contribution, we performed a spectral weight integration in the sub-gap region to obtain the total integrated edge-state mode weight, calculated as $S_{\text{edge}} = \int_0^\pi dq \int_0^{\omega_{\text{max}}} d\omega S(q, \omega)$, where $\omega_{\text{max}} = 0.2 J$ for the QMC results and $\omega_{\text{max}} = 0.25 J$ for the DMRG spectra, accounting for the increased (thermal) broadening. The resulting finite-size scaling of $S_{\text{edge}}$ for different temperatures and from both DMRG and QMC data is shown in Fig. S4. This shows that the edge-state contribution vanishes as $1/L$ with the chain length, indicative of its local character due to the localized edge states in the OBC spin-one chain.

Figure S3 also shows that the magnon line is generally broader for OBC (panels (a) and (c)) than for PBC (panels (b) and (d)). To some extent, this may be due to $q$ not being a good quantum number for OBC and a related mixing with neighboring momenta, although the broadening due to mixing should be negligible at the minimum ($q = \pi$) and maximum ($q \approx \pi/2$) of the dispersion. This broadening is investigated in more detail in Fig. S5, where we compare directly QMC, DMRG, and ED results for the spectral functions between PBC and OBC for a set of momenta $q$, with a focus on the magnon peak. We find that overall the spectral function, as obtained for both boundary conditions, compare rather well between the different methods. Just at $q \approx 0.81 \pi$, ED yields a peak at a slightly higher $\omega$ owing to the fact that in this case, the data is actually for $q = 0.81 \pi$. For $q \approx 0.81 \pi$, QMC also evidently yields a line with a width below $\sigma_\omega = 0.1 J$ for PBC.

By comparing the OBC results to the corresponding QMC spectra for PBC in Fig. S5, we confirm that the magnon peak has a larger width than for PBC. This could be due to additional scattering processes for OBC of the magnon excitations with the edge states, while for PBC, this scattering channel is not available. It might thus...
be interesting to analyze this effect of the edge states on the magnon excitation in more detail in further investigations. However, we also expect such additional edge-state scattering to become less relevant for larger system sizes.

**Thermal Shift on the Single-Magnon Mode**

In Fig. S6 we compare our results for the energy position of the single-magnon peak in $S(\pi, \omega)$ as obtained from the QMC simulations for $L = 64$ with PBC to the results from the $\sigma$-model calculation from Ref. [49]. Like previous work (compare Fig. 3 of Ref. [52]), we find that the $\sigma$-model describes the numerical data well in the sub-gap temperature region $T/J \lesssim 0.4 J$, but we observe deviations at higher temperatures.

**QMC Results for the Three-Magnon Contribution**

Our QMC results for the three-magnon contribution differ from another recent QMC investigation [46] and here we would like to offer an explanation of this discrepancy. In Fig. S7 we present the spectral function $S(\pi, \omega)$ as obtained from the analytic continuation of the QMC data for $L = 64$ and $T/J = 1/24$ using the stochastic analytic continuation procedure from Ref. [78]. Here, we identify, besides the dominant single-magnon peak, a broad single continuum contribution that extends between $\omega/J \approx 1.5$ and $5.5$. This is in overall accord with the extent and the shape of the three-magnon continuum reported from the zero-temperature DMRG calculations in Ref. [45]. In a more recent QMC study of the dynamical spin structure factor of the spin-one chain [46], using the maximum entropy approach, the authors obtained a spectral function at $q = \pi$ that exhibits two distinct peaks instead of a single broad continuum. We find that this result can also be reproduced based on our QMC data, if one artificially samples the spectral functions obtained within the stochastic analytic continuation procedure within the overfitting region. This suggests that the peculiar two-peak structure seen in the spin spectral function $S(\pi, \omega)$ in Ref. [46] may result from an analytic continuation performed in the overfitting regime.